

NECP Goal 2 Methodology for Arizona

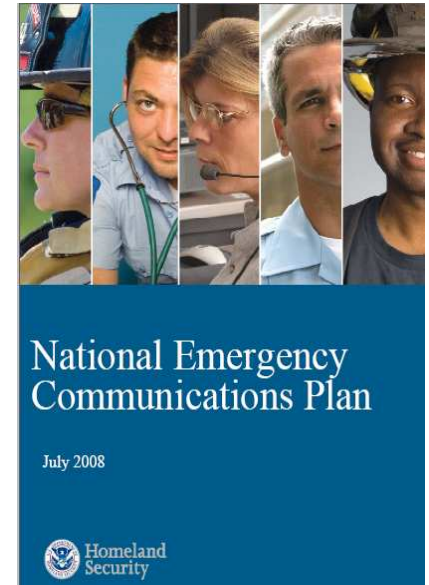
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NECP Goal and Timelines

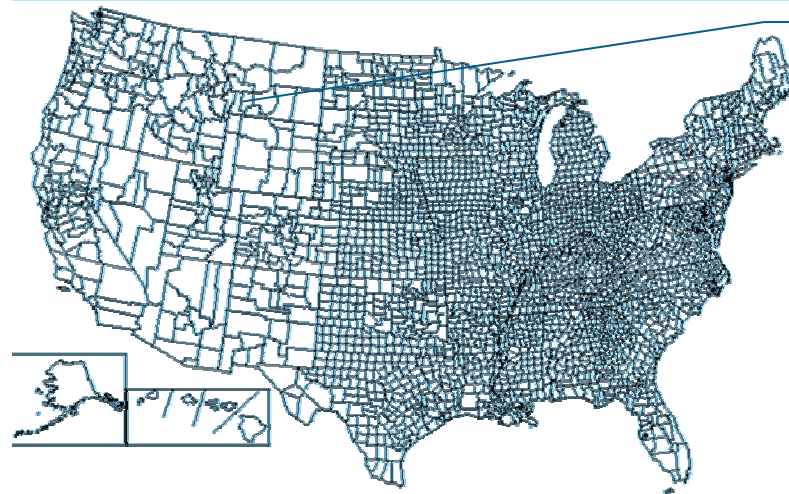
- NECP Goal - Emergency response personnel
 - Can Communicate as needed, on demand, and as authorized
 - At all levels of government
 - Across all disciplines
- Timelines to demonstrate successful Response Level Emergency Communications
 - Goal 1 – By 2010 – 90% of Urban Area Security Initiative Areas (UASIs) within one hour (*evaluation currently underway*)
 - Goal 2 – By 2011 – 75% of non-UASI jurisdictions within one hour
 - Goal 3 – By 2013 – 75% all jurisdictions re: significant events within three hours





NECP Goal 2 Evaluation

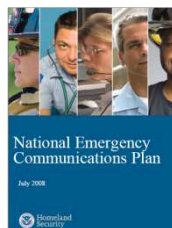
- Federal DHS is finalizing processes and materials related to Goal 2 Evaluations for all Non-UASI Jurisdictions.
- AZDOHS, PSIC and ADEM are informing stakeholders of requirements as they are established
- Three key areas to be evaluated: Common Policies & Procedures; Leadership Roles & Responsibilities; Quality and Continuity of Communications
- Arizona DHS anticipates that federal DHS funding priorities will be linked to meeting NECP Goals



***All Non-UASI
Jurisdictions***

What is Measured?

NECP Goal 2



Section of the NECP Goal 2	Capabilities Needed
Identify/Assess	<ul style="list-style-type: none"> Identify emergency responders Identify critical information and decision-making Identify critical information and decision-making
Assess/Identify (Prevention/Alert)	<ul style="list-style-type: none"> Identify critical information and decision-making Identify critical information and decision-making Identify critical information and decision-making
Identify/Assess	<ul style="list-style-type: none"> Identify critical information and decision-making Identify critical information and decision-making Identify critical information and decision-making
Planning and Execution	<ul style="list-style-type: none"> Identify critical information and decision-making Identify critical information and decision-making Identify critical information and decision-making
Engage	<ul style="list-style-type: none"> Identify critical information and decision-making Identify critical information and decision-making Identify critical information and decision-making

**Capability
Data**

Foundation



**Performance
Data**

Goals



Capability Data

Five Categories:

Governance, SOPs, Technology, Training/Exercise, and Usage

Government Information Technology Agency Limited Leadership, Planning, and Collaboration Among Areas with Minimal Investment in the Sustainability of Systems and Documentation	Governance	Individual Agencies Working Independently	Informal Coordination Between Agencies	Key Multi-Discipline Staff Collaboration on a Regular Basis	Regional Committee Working within a Statewide Communications Interoperability Plan Framework	High Degree of Leadership, Planning, and Collaboration Among Areas with Commitment to and Investment in Sustainability of Systems and Documentation
	Standard Operating Procedures	Individual Agency SOPs	Joint SOPs for Planned Events	Joint SOPs for Emergencies	Regional Set of Communications SOPs	
	Technology	DATA ELEMENTS	Swap Files	Common Applications	Custom-Interfaced Applications	
		VOICE ELEMENTS	Swap Radios	Gateway	Shared Channels	
	Training & Exercises	General Orientation on Equipment and Applications	Single Agency Tabletop Exercises for Key Field and Support Staff	Multi-Agency Tabletop Exercises for Key Field and Support Staff	Multi-Agency Full Functional Exercises Involving All Staff	
	Usage	Planned Events	Localized Emergency Incidents	Regional Incident Management	Daily Use Throughout Region	

Synergy | Management | Accountability | Responsiveness | Technology



Capability Questions: Governance Example

From Capabilities Handout:

Question		Answer
Early Implementation	County decision-making groups are informal, and do not yet have a strategic plan in place to guide collective communications interoperability goals and funding.	<input type="checkbox"/>
Intermediate Implementation	Some <i>formal</i> agreements exist and <i>informal</i> agreements are in practice among members of an Urban Area decision making group; County strategic and budget planning processes are beginning to be put in place.	<input type="checkbox"/>
Established Implementation	Formal agreements outline the roles and responsibilities of an County decision making group, which has an agreed upon strategic plan that addresses sustainable funding for collective, regional interoperable communications needs.	<input type="checkbox"/>
Advanced Implementation	County decision making bodies proactively look to expand membership to ensure representation from broad public support disciplines and other levels of government, while updating their agreements and strategic plan on a regular basis.	<input type="checkbox"/>

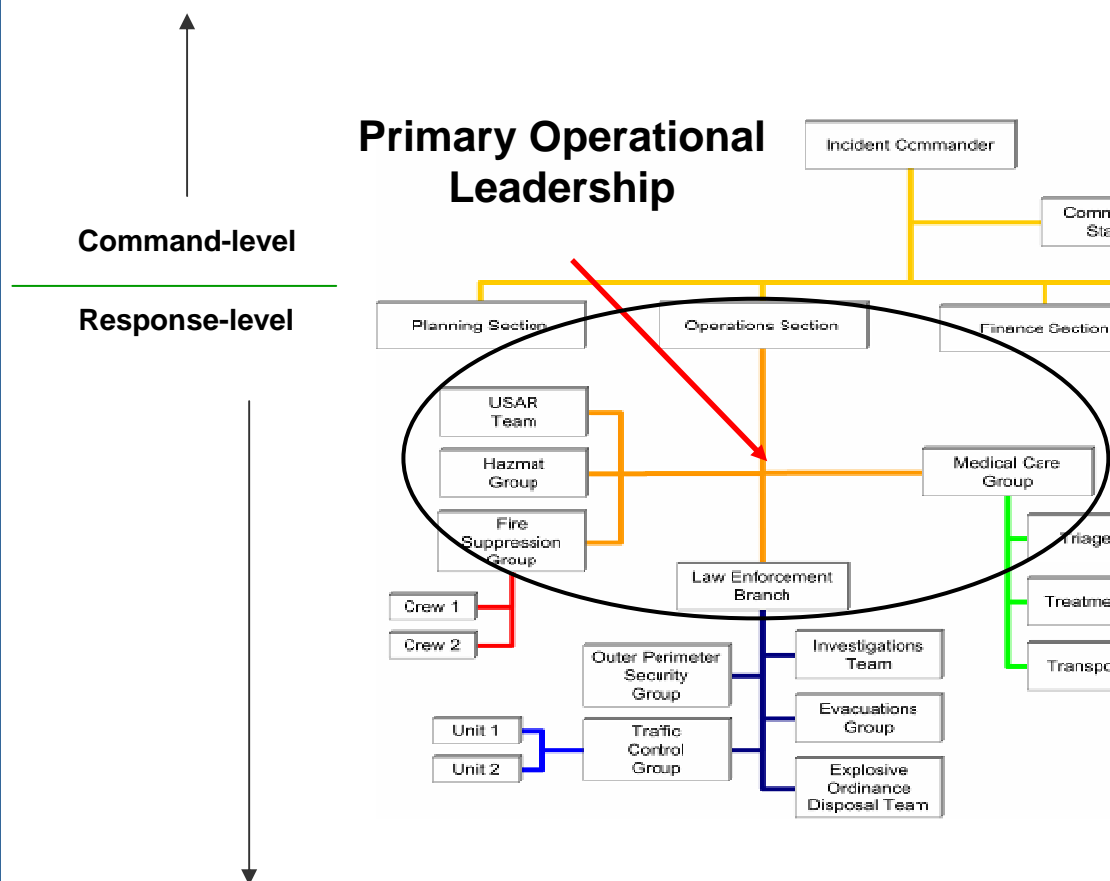


AZ Proposed Approach: Capabilities

- Capabilities data will be collected as part of the annual Target Capabilities Assessment (TCA) update conducted by the Arizona Department of Homeland Security (AZDOHS)
 - Use of existing structure will reduce burden on local agencies
 - Using 2010 TCA will allow Arizona to get a head start on documenting capabilities
- The PSIC office will extract the county level capabilities data from the communications portion of the TCA
- The PSCC will review and approve the final capabilities reports for inclusion in 2011 SCIP Implementation Report

Performance Data: (NECP Goals Criteria)

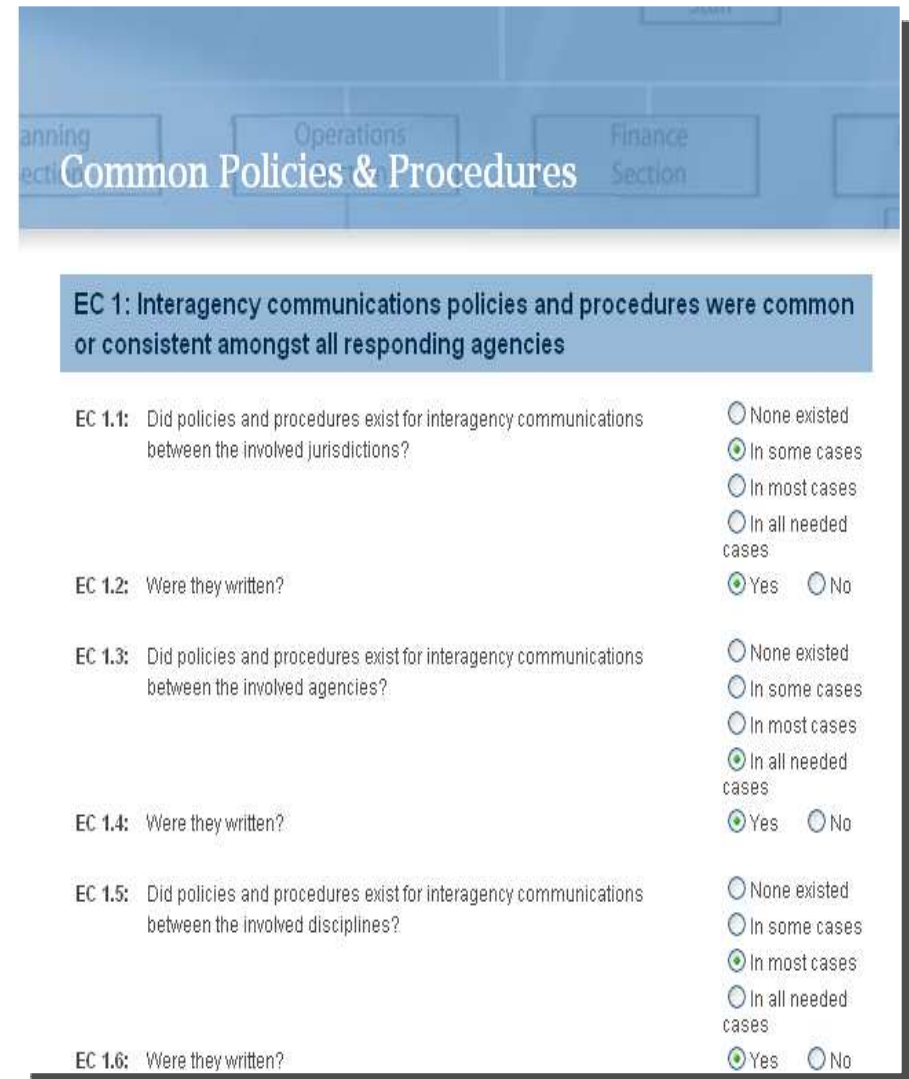
Operational demonstration of response-level communications



**Response Level
Emergency
Communications**

“Capacity of individuals with **Primary Operational Leadership Responsibility** to manage resources and make timely decisions during an incident involving multiple agencies, jurisdictions and disciplines without technical or procedural communications impediments”

- OEC Developed Online Tool
- Measure outcomes, effects, and usage
- Focus on 3 key areas:
 - Common Policies & Procedures
 - Leadership Roles & Responsibilities
 - Quality and Continuity of Communications



Common Policies & Procedures

EC 1: Interagency communications policies and procedures were common or consistent amongst all responding agencies

EC 1.1: Did policies and procedures exist for interagency communications between the involved jurisdictions?

☐ None existed
☒ In some cases
☐ In most cases
☐ In all needed cases

EC 1.2: Were they written?

☒ Yes ☐ No

EC 1.3: Did policies and procedures exist for interagency communications between the involved agencies?

☐ None existed
☐ In some cases
☐ In most cases
☒ In all needed cases

EC 1.4: Were they written?

☒ Yes ☐ No

EC 1.5: Did policies and procedures exist for interagency communications between the involved disciplines?

☐ None existed
☐ In some cases
☒ In most cases
☐ In all needed cases

EC 1.6: Were they written?

☒ Yes ☐ No



AZ Proposed Approach: Performance Data

- Performance will be assessed on a county by county basis in Arizona
- Each Non-UASI county will submit 2 or 3 possible planned events or exercises that could be used to assess their performance
- The PSIC Office will review and publish a list of events or exercises to be assessed statewide (one per county)
- A Point of Contact (POC) for each non-UASI county will be designated by the county to coordinate local performance measurement efforts
- The PSIC Office will help counties with pre-planning for the selected events and exercises
- The PSIC Office will observe and/or help with the selected events and exercises
- As part of the after action process, a session will be conducted by the PSIC Office with local staff to complete the OEC performance reporting tool
- PSCC will review and approve final performance reports for inclusion in the 2011 SCIP Implementation Report



Challenges Identified in Goal 1 Evaluation Preparation

- 1) Lack of familiarization with ICS forms
- 2) Lack of familiarization with creating an IAP
- 3) Lack of ICS 217 forms in region
- 4) Frequency/Channel limitations
- 5) Lack of TIC-P
- 6) Use of 10-Codes or Coded Substitutions
- 7) Reluctance to establish Unified Command
- 8) Lack of acceptance of NECP goals as a way of doing business
- 9) Span of Control



How Can You Prepare?

- Provide feedback on our proposed methodologies and timelines
- Create Tactical Interoperable Communications Plan (TICP) for your area with PSIC support
- Identify Multi-Jurisdictional/Multi-Agency Events or Exercises that we can observe or support
- Identify others in your community that you want us to talk with about NECP Goals and Evaluation Process



FURTHER DISCUSSION QUESTIONS?

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